

Subject area and brief description of nature of report	Lead Department	Responsible Officer	Dec-21	Mar-22	Jul-22	Sep-22
Appointment of Audit Committee: - Chair - Vice Chair	Corporate Services	Governance & Audit Committee			✓	
Annual Audit Report	Corporate Services	Head of Revenues and Financial Compliance			✓	
Forward Work Programme	Corporate Services	Head of Revenues and Financial Compliance	✓	✓	✓	✓
Internal Audit Plan Update	Corporate Services	Head of Revenues and Financial Compliance	✓	✓	✓	✓
Internal Audit indicative three year plan	Corporate Services	Head of Revenues and Financial Compliance		✓		
Assurance Reviews: - Fundamental financial systems - 3* reports	Corporate Services	Head of Revenues and Financial Compliance	As Required			
Progress Report - Delivery of External Audit Recommendations	ICT & Policy	Performance Planning Section	✓			
Progress Report - Delivery of Internal Audit Recommendations	Corporate Services	Head of Revenues and Financial Compliance		✓		
Internal Audit Progress Updates requested by Audit Committee:	Corporate Services	Head of Revenues and Financial Compliance	As Required			
Progress reports as requested by Audit Committee						
- Strategic Commissioning of Accommodation Services for Adults with Learning Disabilities	Communities	Head of Mental Health and Learning Disabilities		✓		
- Ensuring effective People Management	Chief Executives	Assistant Chief Executive	✓			
- Review of Planning Services	Environment	Interim Head of Planning	✓	✓	✓	✓
- Review of Waste Services	Environment	Head of Waste and Environmental Services		✓	✓	✓
- Schools' Deficits and Surpluses	Education & Children	Head of Education and Inclusion Services			✓	
Approval of Audit Charter	Corporate Services	Head of Revenues and Financial Compliance	As Required			
Approval of Strategies / Rules & Regulations	Corporate Services	Head of Revenues and Financial Compliance	As Required			
Annual Anti-Fraud and Corruption Report	Corporate Services	Head of Revenues and Financial Compliance			✓	
Receive the Corporate Risk Register	Corporate Services	Head of Revenues and Financial Compliance		✓		✓
Opportunity for Members to discuss Risks	Corporate Services	Risk owners		✓		✓
Receive the Annual Complaints Report	ICT & Policy	Corporate Policy & Partnership Manager			✓	
Statement of Accounts including Annual Governance Statement for Carmarthenshire County Council - To be received - To be approved	Corporate Services	Head of Financial Services			✓	✓
Statement of Accounts including Annual Governance Statement for Dyfed Pension Fund - To be received - To be approved	Corporate Services	Head of Financial Services				✓ ✓
Burry Port Harbour Accounting Statement - To be received - To be approved	Corporate Services	Head of Financial Services			✓	✓
Audit enquiries to those charged with governance and management for: - Carmarthenshire County Council - Dyfed Pension Fund	Corporate Services	Head of Financial Services				✓
Single Tender Action	Corporate Services	Director of Corporate Services	As Required			
Minutes for noting: - Grants Panel - Corporate Governance Group - Risk Management Steering Group	Corporate Services	Head of Revenues and Financial Compliance Head of Financial Services	✓	✓	✓	✓
<b>Audit Wales:</b> - Audit Plan Update	Corporate Services	Audit Wales	✓		✓	✓
- Financial Statements – ISA260 Report presented to those charged with Governance in relation to the Statement of Accounts for: o Carmarthenshire County Council o Dyfed Pension Fund						✓ ✓
- Annual returns – Reports re independent examination for: o Burry Port Harbour Authority						✓
- Letter of Representation o Carmarthenshire County Council o Dyfed Pension Fund						✓ ✓
- Annual Audit Letter o Carmarthenshire County Council				✓		
- Audit Plans, including information on fees o Carmarthenshire County Council o Dyfed Pension Fund				✓		